



Auxiliary verbs in Jordanian Arabic

Ayman Rashad Yasin ^{a 1} , Ibtisam Hussein ^b 

^a Princess Sumaya University for Technology, Jordan

^b Philadelphia University, Jordan

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Abstract

There are a number of *helping* verbs in Jordanian Arabic that are confused with light verbs or serial verbs. This paper, first, establishes the criteria on which they have been identified as auxiliary verbs. The paper shows the similarities and differences between the inflection of lexical verbs and AUX's in JA. It also tackles the loss of θ -assigning properties which is the crucial property that differentiates between AUX and lexical and serial verbs. Second, given the fact that Arabic has rich verbal morphology which provides enough justification for factoring TP into TP and AspP (and perhaps AgrP), the study adopts an articulated version of the IP, in which inflection is separated into its constituent components, each has a maximal functional projection. The study also builds on Ouhalla's proposal (1990, 2005) that auxiliaries originate outside the VP shell. Based on evidence from the distribution of VP adverbs, negation and floating quantifiers, the paper proposes that auxiliaries in Jordanian Arabic are classified under two lexical auxiliary groups. T-aux are borne in a functional projection under T, but raise to T⁰ to carry and reflect tense, while Asp-aux are base-generated under Asp⁰ and only raise to T in the absence of a T-Aux.

Keywords: Jordanian Arabic; auxiliary verb; Tense; Aspect; inflection; agreement.

1. Introduction

Rivero (1994) has argued for partitioning of auxiliaries into lexical and functional on the basis of presence or lack of lexical content, selectional properties, and phonological properties. Krapova (1997) adopted the same criteria. However, she did not consider 'lexical content' a sufficient condition for determining the status of the auxiliary as lexical or functional.

In the simplest form, when a verb helps another verb to form one of its tenses in a sentence, such verb can be said to be auxiliary (Akintoye 2018). Auxiliaries have many syntactic and semantic functions. Aguirre and Aguirre (2019) list several uses for auxiliary verbs in Japanese: expressing past tense, aspectual continuative forms, desire, hypothetical propositions, and passive voice. In Turkish, some auxiliary verbs are used in compound verb structures formed of N +V (Arici, 2019). Mardar (2018) pinpoints that auxiliary verbs have the same grammatical function irrespective of the language.

¹ Corresponding author.

E-mail address: a.yasin@psut.edu.jo

To prove that certain semantic features are shared by the auxiliary verbs, Madar studied relevant formal and semantic similarities and differences between the most common auxiliary verbs in English, Romanian and Italian.

As for JA, the paper argues that JA auxiliaries are lexical because of certain properties that will be discussed throughout this paper. Lexical auxiliaries in Jordanian Arabic (JA) are borne out under VP (before they move up) since they have the same position in the clause as lexical verbs have in a clause without an auxiliary verb. They also carry the same inflection and agreement markings that a lexical verb can carry. The paper argues that these are indeed auxiliaries rather than serial or light verbs. Given the fact that all these auxiliaries have been grammaticalized by JA, as well as most Arabic dialects, this study seeks first to establish the criteria on which these auxiliaries have been identified and, second, give evidence for their syntactic projections.

Three syntactic structures have been proposed for auxiliaries: the VP complement analysis (Chomsky, 1988; Guéron & Hoekstra, 1988; Moore, 1991 for complex predicates; Pollock, 1989 for French auxiliaries), a verbal complex analysis (Emonds 1978 for French), or a ‘flat’ VP analysis (Abeille & Godard, 2002; Legaré & Rollin, 1976). This paper adopts the VP complement analysis and hence uses the tests that such an analysis had used.

This paper is organized as follows: section 2 presents our proposal. Section 3 presents the preliminary properties of lexical auxiliaries that make them distinct from serial or light verb. Section 4 presents some evidence for the positions of the two lexical AUX groups. Section 5 concludes

2. The Proposal

There are two groups of lexical auxiliaries in JA: T-aux, which can raise to T⁰, and Asp-aux which encode aspectual information (progressive, terminative, prospective, etc.) For clarity, these groups will be dubbed as T-AUX, and Asp-AUX). The study focuses on the distinction between *kaan* and *gaʕad* as representatives of the two groups. The preliminary properties for each of these AUX’s are set to become a basis for building the argument for the structural projections of each groups. Expletives, T-to-C movement, and non-verbal predicates are used as tests in the case of the first group. Adverbials lend some evidence for the syntactic projections of the second group.

Below is a table of the auxiliary verbs under each group along with the functions and lexical meanings they carry:

Table 1. AUX's Functional and Lexical Meanings

GROUP	AUX	Functions	Lexical Meaning
T-AUX	kaan ðəl baga saar	Past tense / progressive/habitual durative and continuative progressive/ habitual change of a state	be stay survive, stay become
Asp-AUX	gaʕad/ga:ʕid/ ballaʕ garrab baʕtal ija	inchoative & progressive inchoative prospective terminative	sit down start become close to stop

	bigdar rji3	initiation ability/permission resumption	come can come back
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It is important to mention that these categories have been classified according to their functions (mentioned in table 1 above) and according to their positions in relation to each other as shown in (1) below².

- (1) a. **Kaan** Omar **ga:ʕid** yi-drus ingli:zi la-S-Subih
Be:pst-3sm Omar **PART-sit**³ 3-IMPF-study English to-the-morning
‘Omar had the habit of studying English until daybreak’
- *b. **gaʕad** Omar **y-kuun** yi-drus ingli:zi la-S-Subih
sit:pst-3sm Omar **3:impf-be** 3-IMPF-study English to-the-morning
‘Omar had the habit of studying English until daybreak’

Sentences (1.a & b) make it clear that *kaan* must precede *ga:ʕid*. In section (4), we will show where each of these categories is generated and where it can move.

While both T-aux and Asp-aux share some aspectual properties, it is worth mentioning that they differ with their selection of complement types as T-aux can occur with verbal and non-verbal predicate, while Asp-aux selects only verbal predicates as shown in examples (2&3).

- (2) a. Omar **kaan** [y-naam fi-l-maktabe-h]_{VP}
Omar T-aux:PST:3SM IMPF:3SM-sleep in-the-library
‘Omar used to sleep in the library’
- b. Omar **kaan** [ustaaz]_{NP} / [naayem]_{AP}
Omar T-aux:PST:3SM a teacher / asleep
‘Omar was a teacher / asleep / in the library’
- (3) a. Omar **gaʕad** [yi-drus fi-l-maktabe-h]_{VP}
Omar PROG AUX : PRF:3SM [IMPF:3SM-study in-the-library]
‘Omar got in the process of studying in the library’
- b. Omar **gaʕad** *[ustaaz]_{NP} / *[naayem]_{AdjP}
Omar sit: PRF:3SM a teacher / asleep
‘Omar sat *a teacher / *asleep’

3. Literature Review

In this section, we will review literature related to the inflectional and derivational similarity between L-aux and lexical verbs. On the other hand, we will also go over some studies that established properties that make AUX’s different from serial, and light verbs. First, section 3.1 shows the similarity between the inflection of lexical verbs and AUX’s in JA. The next section addresses some

² The following abbreviations are used throughout the paper: PRF = perfective, IMPF = imperfective, IMP = imperative, PART: active participle, 1, 2, 3 = person, M = masculine, F = feminine, S = singular, PL = plural, AUX = auxiliary, COMP = complementizer, IND= indicative, SUBJ=subjunctive, JUSS=jussive.

³ The active participle *gqʕid* is used in the same fashion as the auxiliary *gaʕad*. So, we will use them interchangeably.

morphological differences between them. In 3.3, we present the functional meanings for auxiliary verbs and their lexical counterparts. 3.4 tackles the loss of θ -assigning properties which is the crucial property that differentiates between AUX and lexical and serial verbs. The last section addresses the differences between these AUX groups in terms of type of complement each group takes and the aspectual selection of the complement.

3.1. Studies related to AUX Inflection

A number of researchers have been interested in the inflection of auxiliary verbs and tried to related and compare that to the inflection of lexical verbs. Milicevic (2010) pinpoints that auxiliaries express values of verbal semantic inflectional variables (mood and tense) and carry syntactic inflectional values. L-Aux in JA can carry inflectional morphology: T-AUX and Asp-AUX inflect for person, number, and gender. They also inflect for the three verb forms in Arabic (IMPF, PRF, and IMP). Examples (4) & (5) below show the similarity between lexical verbs and T- AUX, Asp- AUX in terms of inflection.

- (4) int-i *fakkar-ti* bi-l-imtihaan imbaareh
 2-sf think:PRF-2sf about-the-exam yesterday
 ‘You(f) thought about the exam yesterday’
- (5) int-i *kun-ti* / *gaṣad -ti* t- fakkr-i bi-l-imtihaan
 2-SF T-aux:PST-2SF/ Asp-aux:PRF-2SF IMPF :2S -think-F about-the-exam
 ‘You(f) were/ started and were in the process of thinking about the exam’

Note that in (4-5) above the AUX and the lexical verb both carry the same ϕ -features. Moreover, JA auxiliaries behave like lexical verbs with respect to NegP elements as shown in the following examples:

- (6) a. Omar ma-kaani-f y-drus kwayyes
 Omar NEG-be:3SM:PAST-NEG IMPF:3SM-study well
 ‘Omar did not use to study well’
- b. Omar ma-daras-f kwayyes
 Omar NEG-study:3SM-NEG well
 ‘Omar did not study well’

It is this similarity in inflection that calls for dubbing them as L-aux⁴. It is also this similarity that made other researchers think of these auxiliaries as light or serial verbs (Hussein 1990, Pallottino 2018, Alotaibi, 2019). First, auxiliaries, light verbs and serial verbs share the following: same subject, same tense and mood, negation is marked on the first element, the two verbs are not separated by intonational or clause boundary, both verbs express one event. However, while serial verbs come from an open class, light and auxiliary verbs come from a restricted class. Additionally, serial verbs do not express tense or aspectuality. On the other hand, while light verbs come from certain semantic sets (e.g posture, motion), auxiliary verbs are grammaticalized and therefore are semantically bleached. More importantly, serial or light verbs can stand on their own in independent clauses due to their semantic content. Auxiliaries, by contrast, cannot serve as lexical verbs unless they are given their semantic content before they have been grammaticalized (7a):

⁴ Aoun, Banmamoun and Choueiri (2010) identified only three auxiliaries in Lebanese Arabic; *kaan* 'be', *rəḥ* 'will' and *ṣem* 'progressive aspectual AUX'. *ṣem* is the same as *gaṣad* in JA. As for *rəḥ*, we will not discuss it here.

- (7) a. Omar **gaʕad** janb l-baab
 Omar sit: PRF:3SM next to the-door
 ‘Omar sat next to the door’
- b. Omar **gaʕad** yi-tfarraj ʕa-t-telfizion
 Omar Asp-AUX: PRF:3SM IMPF:3SM-watch on-the-TV
 ‘Omar was watching TV’

3.2. Morphological Derivation

Auxiliaries are morphologically indistinguishable from other verbs in Arabic; in particular, they bear inflectional affixes just like lexical verbs (example 6 above). Nonetheless, AUX’S differ from lexical and serial verbs with respect to derivational morphology. For instance, nouns can be derived from lexical and serial verbs but not from AUX’S. Thus, from the lexical verb *y-zoor* ‘to visit’ an equivalent noun *ziyaarah* ‘a visit’ can be derived. By contrast, there are no noun forms for the AUX’S *’ðəl*, *gaʕad*, *baga*, *bigdar*, *kaan*, *saar*, *ballash*, *jaay: come*, etc. If they were serial or light verbs, we would expect them to have a nominal derivative.

- (8) zaar > ziyaar-h
 visit:PRF:3SM a visit-sf
- (9) a. ðəl > * ðəlaala
 keep:PRF:3SM keeping (An aspectual aux that denotes continuity)
- b. ija > * jayaan
 come:PRF:3SM coming (An aspectual aux that denotes inchoativity)

Another morphological difference concerns transitivity/ causativity: a process that adds an additional argument to the root, making intransitive verbs transitive, or transitive ones ditransitive. The most common way of doing this in Arabic is by geminating the middle consonant (compare 10a & 10b and 11a & 11b). AUX’S, by contrast, cannot undergo this process since they cannot assign θ -roles in the first place.

- (10) a. Omar maʕa la-d-dukkan
 Omar walk: PRF:3SM to-the-store
 ‘Omar walked to the store’
- b. Ahmed maʕfa Omar la-d-dukkan
 Ahmed walk:CAUS: PRF:3SM Omar to-the-store
 ‘Ahmed walked Omar to the store’
- (11) a. Omar firib d-dawa
 Omar drink: PRF:3SM the-medicine
 ‘Omar swallowed the medicine’
- b. Ahmed farrab Omar ed-dawa
 Ahmed drink:CAUS: PRF:3SM Omar the medicine
 ‘Ahmed made Omar swallow the medicine’

In (10a) above, the predicate *maʕa* ‘walk’ is an intransitive verb in Arabic and thus takes only one argument. By contrast, in (10b), the predicate *maʕfa* is transitive, roughly ‘to cause/force to walk’ and so adds another argument to the event structure, the agent *Ahmed*. By the same token, in (11a) the verb

ʕirib is transitive. By geminating the middle consonant, it becomes ditransitive, hence the verb takes three (*italicized*) arguments in (11b). Given its function, the germination serves as a light verb. This, in fact, excludes the idea that L-aux in JA are light verbs because we can add an aux before the geminated verb. In other words, L-aux is borne in a position higher than vP.

- (12) *Ahmed* *qaʕad* *y-maʕfi* *Omar* *la-d-dukkan*
 Ahmed ASP-CONT:3SM walk:CAUS: PRF:3SM Omar to-the-store

‘Ahmed was in the action of making Omar walk to the store’

AUX’S, on the other hand, cannot undergo such morphological alternation as the ungrammaticality of (13b) shows.

- (13) a. *eṭ -ṭullaab* *gaʕad-u* *y-ktub-u* *ed-dars*
 the-student:PL Asp-AUX: PRF -3PL 3SM:IMPF-write-PL the-lesson
 ‘the students were in the process of writing the lesson.’
- b. * *el-ostaaz* *gaʕad* *eṭ -ṭullaab* *y-ktub-u*
 The teacher:m Asp-AUX:CAUS: PRF:3SM the-student:PL 3SM:IMPF-write-PL
 ed-dars
 the-lesson

Intended: ‘The teacher made the students start and continue the process of writing the lesson.’

Again, if *gaʕad* is a serial or light verb, it would have accepted transitivity/ causativity as the first verb in a serial verb behaves like in other verb in the language.

3.3. Reviewing Functional vs Lexical Meaning

AUX consists of a collection of elements which can be characterized notionally as tense, modality, and aspect (Akmajian, Steele & Wasow, 1979, 1). Bridges (2008, 27) defines AUX verbs as “grammaticalized forms of main verbs that encode various non-lexical information in a predicate; usually tense, aspect or mood”. Generally speaking, auxiliary definitions relate AUX verbs to their lexical counterparts. Lots of languages have this type of alternation – lexical verbs vs. ‘bleached/aspectual’ light verbs. Specifically, auxiliaries differ from lexical and light verbs in that they do not denote a state-of-affairs or event. Heine (1993) distinguishes four parameters that characterize the degree of grammaticalization of lexical verbs into auxiliary verbs: semantic (desemanticization), morphosyntactic (deategorialization), morphophonological (cliticization), and phonetic shift (erosion). Semantically, some auxiliaries in JA have retained some of the meaning of their lexical verb counterparts. In other words, they are not completely bleached. Morphophonologically, T-aux and Asp-aux are inflected and conjugated like lexical verbs, but they do not exhibit phonetic shift. For these reasons, traditional Arab grammarians (e.g Al-Farahidi, 718-786 AD; Sibawayh, 760-797 AD) have treated these linguistic entities⁵ as defective verbs. Supposing that the category AUX covers a continuum, JA L-auxiliaries will fall close to lexical verbs.

However, syntactically, these auxiliaries differ from lexical verbs in that they are tense-aspect markers, and thus have a functional meaning rather than a lexical one. Therefore, they need to be projected outside the VP shell (Alexiadou, 1997; Haegeman, 1994; Ouhalla, 1991; Rohrbacher 1999).

⁵ Traditional Arab grammarians recognized only T-AUX and labeled them as *kaan wa ʔaxawaatuha* ‘be and its sisters’. The group contained 12 defective verbs, but only four as used in JA nowadays.

As we said before, what makes AUX verbs in JA and in Arabic in general distinct from auxiliaries in other languages is the fact that they resemble lexical verbs in inflection. On the other hand, they are also distinctive because they cover a wide range of aspectual, tense and mood functions. This, in fact, makes these verbs auxiliaries rather than serial or light verbs because although serial and light verbs may also carry tense and/or aspect, they have semantic content.

3.4. Loss of Θ -Assigning Properties

Perhaps the most important distinction between AUX's and lexical verbs is that the latter can assign θ -roles to their arguments (internal as well as external). Goossens (1985) contends that the difference between independent (lexical) verbs and auxiliaries is related to whether AUX brings along its argument structure, in which case it is taken as an independent verb, or whether a combining predicate imposes its argument structure on the whole combination. Therefore, in order to be used as auxiliaries, these verbs must be used in a specific grammatical function, such as tense or aspect. Such grammaticalization results in losing the ability to assign θ -roles.

Comparing the lexical verb *ballaf* in (14) to its functional counterparts in (15a-c), we conclude that *ballaf* as a lexical verb assigns an internal θ -role to *fukul* 'work, job' and an external one to *Omar*. However, as an AUX *ballaf* cannot assign θ -roles. For instance, *Omar* is assigned a θ -role of an agent 'a player' in (15a) from the main verb *y-lʕab* 'play', an experiencer 'feeler' in (15b) from the lexical verb *y-ʕʕur* 'feel', and in (15c) no θ -role is assigned to the null dummy pronoun in the subject position.

- (14) a. Omar ballaf eʃ-fukul l-jdeed gabl youm-ein
 Omar start:3SM:PRF the-work/job the-new before day-DUAL
 'Omar started/ took up the new job two days ago'
- (15) a. Omar ballaf y-lʕab fatbol
 Omar Asp-aux:3SM: PRF IMPF:3SM-play soccer
 'Omar started playing football'
- b. Omar ballaf y-ʕʕur bi-ʔidei-h
 Omar Asp-aux:3SM: PRF IMPF:3SM-feel with-hands-his
 'Omar started feeling his hands, i.e. not numbed'
- c. [*pro* ballaf-at t-maʕtir
 Asp-aux: PRF -3SF IMPR:3SF-rain
 '(it) started raining'

In brief, auxiliaries are basically functional elements which differ from lexical verbs in that they lack a thematic grid, the property which accounts for their inability to assign arguments. In addition, auxiliaries are not members of the argument structure of lexical verbs in the sense that they do not bear any thematic relation to the lexical verb or any of its arguments and therefore should be excluded from VP.

However, it could be argued that 'ballash' in (15) is a serial verb as argued by Pallotino (2018) for the verb *bdi* in MA which has the same meaning as *ballash*. This claim is ruled out on the grounds that in serial verbs negation is always marked on the first verb in the string and applies to the whole string. However, in (15b), for example, we can negate the first or the second verb with a clear difference in meaning:

- (16) a. Omar ma ballaf y-ʕʕur bi-ʔidei-h
 Omar Neg Asp-aux:3SM: PRF IMPF:3SM-feel with-hands-his
 'Omar has not started feeling his hands yet'
- b. Omar ballaf ma y-ʕʕur bi-ʔidei-h
 Omar Asp-aux:3SM: PRF neg IMPF:3SM-feel with-hands-his

‘Omar started not feeling his hands, i.e. they became numb’

3.5. Complement Type and Aspect Subcategorization:

The last distinction between lexical and L-auxiliary verbs involves the type of complement each takes. On one hand, as argued in many studies, different auxiliaries take different aspectual subcategorization. Generally speaking, the three groups of JA AUX select IMPF aspect in their complement. However, some select PRF form as well. First, all T-AUX’S take IMPF aspectual verb complements except for the copula *kaan* which can take PRF aspect as well.

- (17) a. Omar *kaan/ ðəl* *yi-lʕab* *fi-l-jim*
 Omar *kaan:PST:3SM/keep:PST:3SM* **IMPF** :3SM-play *in-the-gym*

‘Omar was playing/ kept playing in the gym’

- b. Omar *kaan/*ðəl* *sajjal* *fi-l-jim* *wagtha*
 Omar *be:PST:3SM/*keep:PST:3SM* **play:PRF:3SM** *in-the-gym* *then*

‘Omar had/*kept signed up in the gym then’

Second, Asp-AUX subcategorize only for IMPF verb complement.

- (18) Omar *gaʕad* *yi-lʕab/*liʕib* *fi-l-jim*
 Omar **PROG AUX** : PRF:3SM **IMPF** :3SM-play/***play:PRF:3SM** *in-the gym*

‘Omar was playing in the gym’

Since some of these auxiliaries can select IMPF or PRF aspectual verb, this indicates that they are not serial or light verbs which subcategorize one form of the verb (usually IMPF aspectual verb).

4. Discussion

Having presented the basic properties for JA AUX, the study moves on to prove the syntactic position for each of the two AUX groups. In this section, we present the background assumptions that will be adopted in the analyses to follow. Given the fact that Arabic has rich verbal morphology which provides enough justification for factoring TP into TP and AspP (and perhaps AgrP), the study follows Belletti (1994); Carnie (2007); Chomsky (2001); Haegeman and Gueron (2005); Hornstein et al. (2010); Pollock (1989); Radford (2004) in adopting an articulated version of the IP, in which inflection is separated into its constituent components, each has a maximal functional projection (FP). The study also adopts Ouhalla's proposal (1990, 2005) that auxiliaries originate outside VP.

Having worked mainly on English and French, Pollock (1989) presented several tests to show whether a verb raises to a functional projection or remains in situ. These tests include negation, aspectual adverbs, stranded quantifiers, and T-to-C movement. Notably, these classical tests have been commonly used by generativists (see Carnie, 2008; Haegeman and Gueron, 2005; Hornstein et al., 2010; Ouhalla, 2005; Radford, 2004 to name few). Building our arguments on these tests, the study reveals a number of syntactic differences between the three types of auxiliaries that have so far remained unnoticed by the traditional accounts. It will be shown that T-AUX enter the structure under a functional head (AspP due to their aspectual meaning) and end up under T, whereas Asp-AUX are base-generated under AspP and raise to T in the absence of a T-AUX.

4.1. T-AUX

The first group of L-AUX’S in JA is the T-AUX which include (*kaan* 'be, habitual and progressive', *baga* 'habitual and progressive', *Saar* 'change of state' and *ðəl* 'durative continuative'). Cuvalay (1994)

pointed out that the complex forms of *kaan* + the lexical verb represent one syntactic unit, consisting of a predicate (the lexical verb) and an auxiliary, where *kaan* puts the main proposition in the past while the lexical predicate specifies an aspectual value. Cuvalay adds that although most of these verbs (*kaan* and its sisters, which amount to T-AUX in JA) can function as full verbs, their most common use is incomplete, in the sense they occur in complex constructions with another verb or with a nonverbal predicate. As such, their lexical meaning is reduced or empty. Here, the study will shed light on the functional projection that these AUX occupy. We argue that T-AUX start as Asp^0 and then raise to T^0 as in (19).

(19)

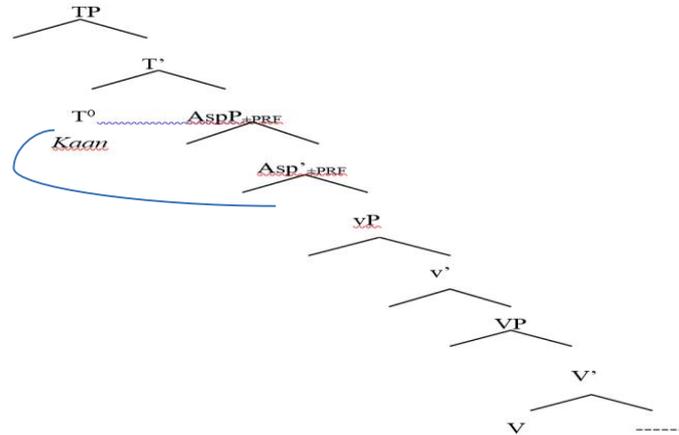


Figure 1. T-AUX starting in Asp^0 and raising to T^0

The first piece of evidence comes from expletives which are content-free elements that are licensed by a functional category, particularly T^0 . In JA the expletive *fii* ‘there’ occurs only with T-AUX.

- (20) a. *fii* *kaan* *kalb* *y-ṣawwi* *fi-l-ḥara*
 There T-AUX:3SM:PAST a dog IMPF:3SM-bark in-the-vicinity’
 ‘There was a dog barking in the vicinity’
- b. *kaan* *fii* *kalb* *y-ṣawwi* *fi-l-ḥara*
 T-AUX:3SM:PAST there a dog IMPF:3SM-bark in-the-vicinity’
 ‘There was a dog barking in the vicinity’

Based on the assumption of Aoun et al. (2009); Carnie (2008); Chomsky (1988); Haegeman (1994); Hornstein et al. (2010); Ouhalla (1990); and Radford (2004), it is predicted that such an expletive is licensed by T^0 . In (20a), *kaan* starts in $AspP$ then raises to T^0 . In (20b), on the other hand, *kaan* has undergone further movement, perhaps to $AgrS$ which appears right above TP (Chomsky, 1988; Haegeman, 1994; Hornstein et al., 2010; Ouhalla, 1990, 2005; Pollock, 1989).

By contrast, *gaṣad*, an Asp- AUX, cannot co-occur with the expletive *fii*, although both *kaan* & *gaṣad* indicate progressiveness in that same context. The ungrammaticality of (21) indicates that the AUX *gaṣad* cannot license the expletive *fii*. This, in turn, suggests that *gaṣad* cannot raise to T^0 .

- (21) * *fii* *gaṣad* *kalb* *y-ṣawwi* *fi-l-ḥara*
 There ASP-AUX:3SM: PRF a dog IMPF:3SM-bark in-the-vicinity’
 ‘There was a dog barking in the vicinity’

Moreover, if we assume that *kaan* is raised to T^0 , we predict that T^0 raises to C^0 when making questions in neutral contexts. This movement is triggered by a [+Q] feature in C^0 . According to Head

Movement Constraint (Travis, 1984), and Relativized Minimality (Rizzi 1990, 2004; and Rizzi and Cinque, 2008), the movement between one head position and another is only possible between a head of a given structure and the head of its complement. Therefore, if an element moves to the head C^0 , then it must have been in the head position of its complement TP, i.e. T-to-C movement as seen in (22):

- (22) a. Omar kaan y-lʕab ʃaddi-h
 Omar T-AUX:3SM:PST IMPF:3SM-play cards-f
 ‘Omar was playing cards’
- b. [_{CP} eij_k kaan_i [_{TP} Omar t_i y-lʕab t_k?]]
 what T-aux:3SM:PST Omar IMPF:3SM-play?
 ‘What was Omar playing?’
- c. [_{CP} eij_k c⁰ kaan_i [_{TP} T⁰ t_i [_{VP} Omar y-lʕab t_k?]]
 what T-aux:3SM:PST Omar IMPF:3SM-play?
 ‘What was Omar playing?’

(22a) above is a declarative sentence that has a T-AUX *kaan*. (22b-c) are WH-questions in which the WH-word *eish* raises from the object position (t_k) to [Spec/CP]. Since JA allows SVO and VSO orders, there are two possibilities: According to the SVO order, one can assume that *kaan* has raised from T⁰ to C⁰ leaving a trace and that *Omar* remains in [Spec/TP] as in (22b). However, on the VSO account, it can be assumed that *Omar* was in [Spec/vP] or even in [Spec/AspP], and *kaan* was in T⁰ (and may have moved to AgrS⁰) and then moved to C⁰ but the movement is vacuous since we still have the same order before movement, as shown in (22c).

Moreover, if we find an element that must appear between T and C, then we can prove that (22b-c) is on the right track, i.e. *kaan* raises from T to C:

- (23) wala-hada kaan y-lʕab ʃaddi-h
 nobody T-aux:3SM:PST IMPF:3SM-play cards-f
 ‘Nobody was playing cards’
- Negative elements like *wala-hada* ‘nobody’ are obligatorily moved to [Spec/TP]. If that negative element appears after *kaan* in questions, then *kaan* raises to C⁰:
- (24) a. Eijf kaan wala-hada y-lʕab?
 What T-aux:3SM:PST nobody IMPF:3SM-play
 ‘What was nobody playing?’
- b. *Eish wala-hada kaan y-lʕab?
 What nobody T-aux:3SM:PST IMPF:3SM-play
 ‘What was nobody playing?’

The data in (24a-b) supports our claim that *kaan* raises to C⁰. Applying (Head Movement Constraint (HMC), the T-AUX *kaan* must have raised to T⁰ before it raises to C⁰.

(25)

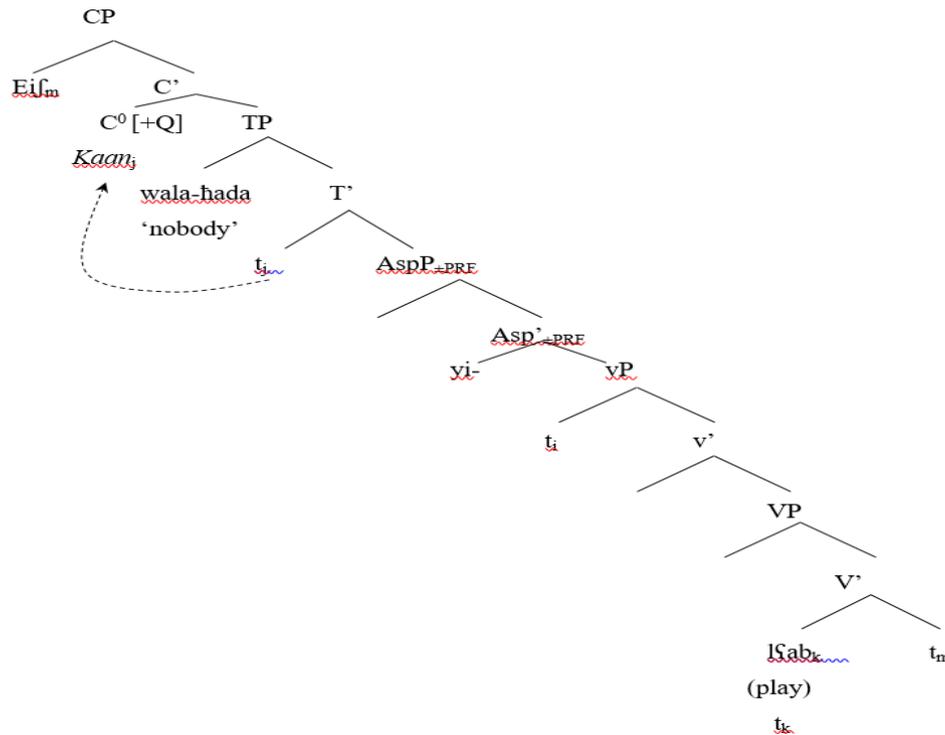


Figure 2. T-AUX raising to T⁰ before raising to C⁰

In addition, the fact that we cannot have a doubly filled Comp in JA as shown by (26b) proves that *kaan* must occupy the functional head below C⁰, i.e, T⁰.

- (26) a. *ba-ʃtaqid inno Omar kaan y-lʃab ʃaddi-h*
 IMPF:1S-think Comp Omar T-AUX:3SM:PST IMPF:3SM-play cards-f
 ‘I think that Omar was playing cards’
- b. **ba-ʃtaqid inno kaan Omar y-lʃab ʃaddi-h*
 IMPF:1S-think Comp T-AUX:3SM:PST Omar IMPF:3SM-play cards-f
 ‘I think that Omar was playing cards’

A final piece of evidence for the argument that T-AUX raise to T⁰ comes from sentences with non-verbal predicates⁶. The implication that the sentences in question have a T with a present tense feature even though their predicate is a nominal phrase is widely supported by the fact that they are compatible with present tense adverbs but not with past and future tense adverbs (Ouhalla, 1991). The temporal category present time reference is not necessarily expressed, but past and future tense in most Arabic dialects are (Cuvalay, 1994). Benmamoun (2000) proposed that a verbless sentence has a functional projection that has a null copula in T⁰ since it does not have a verbal predicate:

- (27) Omar [TP T Ø ustaaz haaliyyan]
 Omar a teacher at the mean time
 ‘Omar is a teacher at the mean time’

⁶ Some linguists call such sentences verbless sentences (Benmamoun, 2000 & Fassi Fehri, 1993), some dub them as nominal sentences (Abulhaija, 1989 & Jaradaat, 2007) among others.

However, in the past all T-AUX can be inserted in the same place as the null copula in (28), before the predicate. This, in turn, indicates that these AUX occupy the same T⁰ position.

- (28) Omar [TP T kaan / бага / шаар / ðəl ustaaz]
 Omar T-aux:3SM:PST a teacher
 ‘Omar was, was, became, remained a teacher’

By contrast, Asp- AUX cannot be inserted in that position as shown by the ungrammatical sentence in (29). This implies that Asp- AUX cannot raise to T⁰.

- (29) *Omar **gaʃad** ustaaz
 Omar Asp-aux:PRF:3sm a teacher
 ‘Omar was a teacher’

4.2. Asp-AUX in Asp⁰

We have seen in the previous section that T-AUX can raise to T⁰. That was supported by three pieces of evidence: licensing of expletive in [Spec/TP] by a T-AUX, T-to-C movement, and the appearance of T-AUX group in T⁰ in the past of a non-verbal sentence where a null copula appears in T⁰ in the present. It was also shown that Asp-AUX cannot license expletives nor can they surface in the past of a non-verbal sentence indicating that these AUX do not raise to T⁰.

On the one hand, “The existence of a fixed word order among AUX provides an initial argument in favor of the claim that they are heads rather than adjuncts” (Cole, 2008, p.113). The fixed order indicates that these auxiliaries occupy different positions. Consider, for instance, the following example where *kaan* and *gaʃad* appear in a fixed order.

- (30) a. El-awlaad kaan-u y- hill-u el-waajib
 The -boys T-AUX:PST-3PL IMPF -do-3PL the-homework
 ‘The boys were doing their homework’
- b. El-awlaad kaan-u yi-gʃud-u y- hill-u el-waajib
 The boys T-AUX:PST-3PL IMPR-Asp-AUX-3PL IMPF -do-3PL the-HW
 ‘The boys used to get in the process of doing their homework’
- c. * El-awlaad yi-gʃud-u kaan-u y- hill-u el-waajib
 The boys IMPR-ASP-AUX-3PL T-AUX:PST-3PL IMPF -do-3PL the-HW
 ‘The boys used to get in the process of doing their homework’

Fronting of one head, *gaʃad*, over *kaan*, is ruled out by HMC (Travis, 1984). Since we have already shown that *kaan* raises to T⁰, and we can assume that the lexical verb is in V, then there must be at least another head and projection between them. Let’s assume that is the projection that *gaʃad* occupies. Given its aspectual denotation, and following Edmonds’ analysis (1978) of the temporal aspectual verbs, *gaʃad* is assumed to be an Asp head. A T-AUX, then, can take either an Asp-AUX or a lexical verb as its complement. By contrast, an Asp-AUX only takes a lexical verb as its complement. No other ordering among the AUX is possible since any other order would violate the strict complement subcategorizations.

(31)

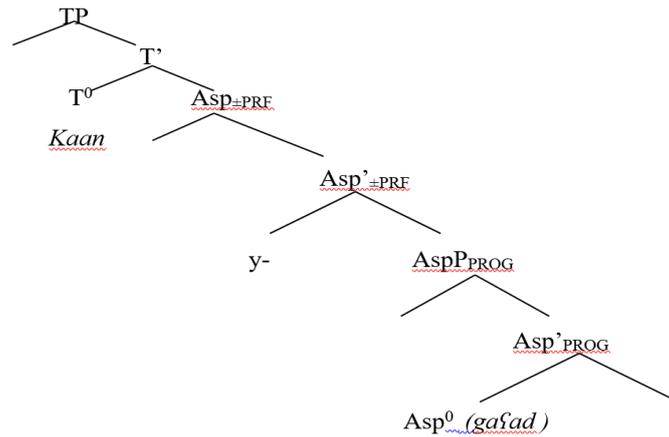


Figure 3. Strict order of T-aux and Asp-aux

Additionally, the fact that a VP adverb occurs after *kaan* but before *gaʕad* when they co-occur supports our argument that the former is in T⁰ and the latter is in Asp⁰.

- (32) a. Omar kaan **ʕaliban** yi-gʕud *ʕaliban
 Omar T-AUX:3SM:PAST often IMPF:3sm-Asp-AUX often
 yi-drus fi-l-maktabeh
 IMPF:3sm-study in-the-library

'Omar often used to get in the process of studying in the library'

In the remaining of this section, we are going to examine whether Asp- AUX’S raise to T⁰ in the absence of a T-AUX, and whether or not they raise to other aspectual projections.

4.2.1. Asp-AUX Raising to T⁰

We saw that when a T-AUX co-occurs with an Asp-AUX, the former occupies T⁰, whereas the latter is in Asp⁰. However, the AUX *gaʕad* in Asp⁰ can appear without *kaan* in T⁰. Compare (33a) and (33b)

- (33) a. Omar kaan gaʕid yi-drus ingli:zi
 Omar T-AUX:PST:3SM Asp-AUX:PRF:3SM IMPF :3SM-study English
 ʕindma wʕil-na
 when arrive:PRF-1PL

'Omar had already got in the process of studying English when we arrived'

- b. Omar gaʕad yi-drus ingli:zi ʕindma wʕil-na
 Omar Asp-AUX: PRF:3SM IMPF :3SM-study English when arrive:PST-1PL

'Omar started and was in the in the process of studying English when we arrived'

In these cases, the question arises: does *gaʕad* (a) raise to T⁰ or (b) remain in situ?

(34)

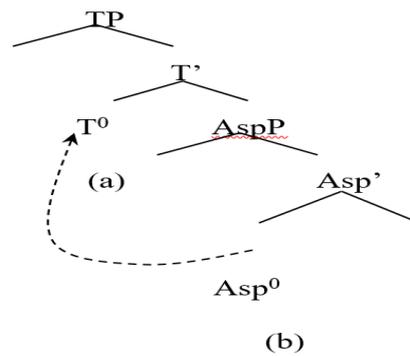


Figure 4. Asp-aux raising to T⁰

According to Cinque (2003), the sentence is divided into different zones: “the higher CP zone represents distinctions in clause typing and informational structure, a lower zone that presents evaluative, evidential and epistemic operators and comprises tense, aspect, modal and voice, and even a lower zone that contains the lexical verb, the arguments and additional participant adjuncts.” AUX are located between CP and VP as we saw earlier and V is born in the lowest zone (but this does not tell us whether or not the verb raises from the lowest level to the intermediate level to catch the aspectual or tense markers). Since we are trying to find out whether the Asp-AUX raises or not, we will use the adverb *mubafaratan* ‘instantly’ which is related to aspect- denoting the beginning of the event-and thus is adjoined to such an aspectual projection.

(35)

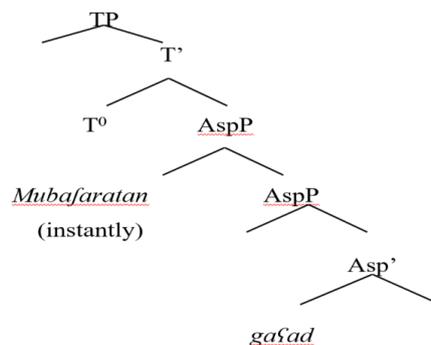


Figure 5. Asp-aux in relation to an aspectual adverb

If *gaʕad* - the Asp-AUX- appears before the adverb, this indicates that Asp-AUX raises to T⁰ in the absence of a T-AUX. Otherwise, Asp-AUX remains in Asp⁰.

- (36) a. Omar **gaʕad** mubafaratan yi-drus ingli:zi
 Omar Asp-AUX: PRF:3SM instantly IMPF :3SM-study English
 ‘Omar had instantly got in the process of studying English’
- b. Omar mubafaratan **gaʕad** yi-drus ingli:zi
 Omar instantly Asp-AUX:PRF:3SM IMPF:3SM-study English
 ‘Omar had instantly got in the process of studying English’⁷

⁷ (36b) can be rendered grammatical when the adverb is focused. However, when that happens the focused element is marked by an emphatic stress and/or a pause after it.

The example in (36a) confirms that *gaʕad* raises to T⁰ since the adverb is located after it. Since (36b) is also acceptable, this indicates that *gaʕad* can also remain in Asp⁰. Further evidence for Asp-AUX raising to T comes from T-to-C movement: If AUX has the option of raising to T, they can further raise to C in accordance with Relativized Minimality (Rizzi, 1990, 2004; Rizzi and Cinque, 2008). In this respect, Asp-AUX in JA behave like English auxiliaries.

(37) Can John read that book?

(38) a. gaʕad Omar yidrus fi-l-maktabeh imbaareh?
 Asp-AUX:3SM Omar IMPF :3SM-study in-the-library yesterday?

'Did Omar get in the process of studying in the library yesterday?'

b. *Omar gaʕad yidrus fi-l-maktabeh imbaareh?
 Omar Asp-AUX:3SM IMPF :3SM-study in-the-library yesterday?

'Did Omar get in the process of studying in the library yesterday?'

Second, as the case with T-AUX, when C is filled, *gaʕad* cannot raise to C:

(39) a. ba-ʕtaqid inno Omar gaʕad y-lʕab ʕaddi-h
 IMPF:1S-think Comp Omar Asp-AUX:3SM IMPF:3SM-play cards-f

'I think that Omar was playing cards'

b. *ba-ʕtaqid inno gaʕad Omar y-lʕab ʕaddih
 IMPF:1S-think Comp Asp-AUX:3SM Omar IMPF:3SM-play cards

'I think that Omar was playing cards'

There remains one more point related to the lexical verb. Besides the AUX *gaʕad*, the progressive aspect can also be expressed by the aspectual prefix *bi-* which attaches to lexical verbs⁸. "In Colloquial Egyptian Arabic, the preverbal marker *bi-* expresses progressive or habitual aspect. The preverbal *ha-* is used to indicate prospective aspect or future tense. In most Moroccan dialects, the preverbal *ka-* (or *ta-*) and *gadi-* (*ga*) cover more or less the same values" (Cuvalay 1994, p.4).

If there is a T-AUX like *kaan*, and the progressiveness of the event is meant, *kaan* can be followed by either *gaʕad* or by the prefix *bi-*, but not both:

(40) a. Omar kaan yi-gʕud *b-yi-drus
 Omar T-AUX:PST:3SM IMPF-Asp-AUX:3SM ASP-IMPF :3SM-study
 ingli:zi la-ʕ-ʕubiH
 English to-the-morning

'Omar used to get in the process of studying English to the day light'

b. Omar kaan Ø b-yi-drus ingli:zi la-ʕ-ʕubiH
 Omar T-AUX:PST:3SM ASP-IMPF :3SM-study English to-the-morning

'Omar was studying (past-HAB/PROG) English to the day light'

Both *gaʕad* and *bi-* occur between *kaan* and the lexical verb, and both denote progressive aspect. Let us assume that in (41) below, *kaan* is in T⁰ and the aspectual prefix *bi-* is in Asp⁰ under T⁰.

⁸ One difference between *gaʕad* and the prefix *bi-* is that *gaʕad* incorporates both progressive and inchoative aspects, whereas *bi-* only indicates the progressive aspect.

(41)

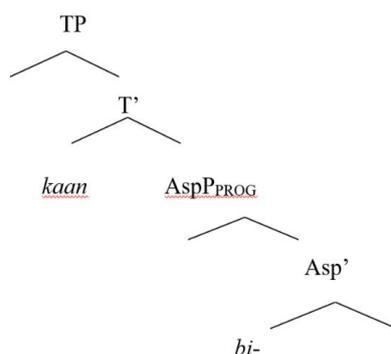


Figure 6. T-aux *kaan* in T⁰ and the aspectual prefix *bi-* in Asp⁰ under T⁰

As long as *bi-* is a prefix, it either attracts V, i.e. V raises to Asp_{PROG}, or it allows the verb to move through it to a higher position.

5. Conclusion

This paper presented criteria for distinguishing AUX in JA from lexical, serial and light verbs. The paper also showed that there are two AUX groups that have different complement type and aspect subcategorization. T-AUX and Asp-AUX take a projection of VP complement generally in the IMPF form except for *kaan* which can also take a PRF complement. The paper also proposed that T-AUX, Asp-AUX carry different syntactic and morphological properties. On par with previous studies, (e.g. McCarthy, 1993; Rivero 1994; Krapova 1997; Kohle, 2000), T-AUX and Asp-AUX are roughly lexical auxiliaries. The two groups occupy different positions in the structure. Specifically, T-AUX can raise to T⁰, Asp-AUX are located in Asp⁰. Syntactic evidence for the position of the T-AUX came from expletives, T-to-C movement and non-verbal predicate sentences. Adverbs were used as a test to show the syntactic heads that Asp-AUX can occupy: Asp-AUX raise to T⁰ only if T⁰ is not already filled by a T-AUX.

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AUTHOR BIODATA

Dr. Ayman Yasin is currently the head of the Coordination Unit for Service Courses at Princess Sumaya University for Technology in Jordan. He studied linguistics at Purdue University in Indiana, USA (2008-2012). He is interested in social sciences in general and in linguistics in particular. He has published a number of papers in syntax, phonology, translation, and prosody. Dr. Yasin has also taught MA and PhD courses in linguistics and translation at the University of Jordan (part timer). He is supervisor and an external examiner for many MA and PhD theses in various Jordanian universities. He has experience in IELTS tests and he serves as a reviewer in several journals.

Ibtisam Hussein Jamil Mohammad is an associate professor in phonetics and phonology and the director of the Language Center at Philadelphia University in Jordan. She has been teaching several BA and MA courses in the Arabic Departments in Isra' University, Middle East University and Philadelphia University in Jordan. She also supervised many MA and PhD theses. Dr. Mohammad is interested in acoustic phonetics and phonology, discourse analysis, syntax, Arabic as a foreign language, and morphology. She participates in various cultural

activities in different academic institutions. She launched ‘Philadelphia for Knowledge’ initiative which focuses on increasing the Arabic scientific and knowledge content on the internet. She published hundreds of original and translated articles on the initiative website and she carried out a number of cultural events that serve Arabic regionally and internationally.